

FORM - 3A  
(Read with Regulation 10)  
Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD  
Registration Number : 128  
Statement as on : 31-03-2017  
Statement of Investment Assets (Life Insurers)  
(Business within India)  
Periodicity of Submission : Quarterly  
Section I

No.	Particulars	SCH	Amount
1	Investment (Share Holders)	8	605.62
	Investments(Policy Holders)	8A	1703.28
	Investment(Linked Liabilities)	8B	766.93
2	Loans	9	4.90
3	Fixed Assets	10	40.39
4	Current Assets		
	a)Cash & Bank Balance	11	144.34
	b) Advances & Other Assets	12	205.45
5	Current Liabilities		
	a)current Liabilities	13	321.57
	b)Provisions	14	8.90
	c) Mis.Exp not written off	15	0.00
	d)Debit Balance of P&L A/c		
Application of Funds as per Balance Sheet.		(A)	3801.38
	Less Other Assets	SCH	Amount
1	Loans(if any)	9	4.90
2	Fixed Assets(if any)	10	40.39
3	Cash & Bank Balance(if any)	11	144.34
4	Advances & Other Assets(if any)	12	205.45
5	current Liabilities	13	321.57
6	Provisions	14	8.90
7	Mis.Exp not written off	15	0.00
8	Investments held outside India)		0.00
9	Debit Balance of P&L A/c		0.00
		TOTAL(B)	725.55

Investment Assets (A-B) 3075.83

PART - A

Rs. in Crore

Reconciliation of Investment Assets	3075.83
Total Investment Assets (as per Balance Sheet)	3075.83
Balance Sheet Value of:	
A. Life Fund	1970.39
B. Pension & Gen Annuity and Group Business.	338.51
C. Unit Linked Funds	766.93
	3075.83

A. LIFE FUND	% as per Reg	SH		PH			Book Value (SH+PH) (f) =[a+b+c+d+e]	Actual % (g) = [(f) - (a)]%	FVC Amount (h)	Total Fund (i)=(f+h)	Market Value (j)
		Balance	FRSM+	UL-Non Unit	PAR	NON PAR					
		(a)	(b)	(c)	(d)	(e)					
1. Central Govt. Sec	NOT LESS THAN 25%	0.00	71.14	2.00	309.04	160.13	542.32	32.15%	0.00	542.32	559.51
2. Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above)	NOT LESS THAN 50%	0.00	169.08	10.06	478.28	291.84	949.26	56.27%	0.00	949.26	985.30
3. Investments Subject to Exposure Norms		0.00	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00	0.00
a. Infrastructure/ Social/ Housing Sector		0.00	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00	0.00
1. Approved Investments	NOT LESS THAN 15%	1.20	3.65	6.88	205.51	106.46	323.71	19.12%	2.03	325.74	342.08
2. Other Investments		0.00	0.00	0.00	0.00	0.00	0.00	0.00%	0.00	0.00	0.00
b. (i) Approved Investments	NOT EXCEEDING 35%	60.18	71.72	3.76	82.64	85.35	303.65	14.43%	33.91	337.56	339.03
(ii) Other Investments		136.46	103.78	1.09	37.07	29.85	308.26	10.18%	49.56	357.82	360.35
TOTAL LIFE FUND	100%	197.85	348.24	21.79	803.50	513.50	1,884.88	100.00%	85.50	1,970.39	2,026.76

B. PENSION & GENERAL ANNUITY AND GROUP BUSINESS	% as per Reg	PH		Book Value (c)= (a+b)	Actual % (d)	FVC Amount (e)	Total Fund (f)=(c+e)	Market Value (g)
		PAR	NON PAR					
		(a)	(b)					
1. Central Govt. Sec	NOT LESS THAN 20%	0.00	67.90	67.90	20.66%	0.00	67.90	70.94
2. Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above)	NOT LESS THAN 40%	0.00	132.56	132.56	40.34%	0.00	132.56	138.96
3. Balance in Approved Investment	NOT MORE THAN 60%	0.00	196.09	196.09	59.66%	9.86	205.95	209.17
TOTAL PENSION AND GENERAL ANNUITY FUND	100%	0.00	328.65	328.65	100.00%	9.86	338.51	348.14

#### LINKED BUSINESS

C. LINKED FUNDS	% as per Reg	PH		Total Fund (c)=(a+b)	Actual % (d)
		PAR	NON PAR		
		(a)	(b)		
1. Approved Investments	NOT LESS THAN 75%	0.00	725.97	725.97	94.66%
2. Other than Approved Investment	NOT MORE THAN 25%	0.00	40.96	40.96	5.34%
TOTAL LINKED INSURANCE FUND	100%	0.00	766.93	766.93	100.00%

#### CERTIFICATION:

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : 23-05-2017

Signature : .....

Full Name : MR.G.VAIDYANATHAN

Authorised Signatory

**Note:**

1. (+) FRSM refers to 'Funds representing Solvency Margin'
2. Funds beyond Solvency Margin shall have a separate Custody Account.
3. Other Investments shall be as permitted as per Sec 27A (2) of Insurance Act, 1938 as amended from time to time
4. Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
5. Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account

FORM 3A PART A FOOTNOTE